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FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

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November 13, 2001 Date of Deposit

4-30582C/D6C1/CGC 2012

Address to:

Assistant Commissioner for Patents

Box Patent Application Washington, DC 20231

## UTILITY PATENT APPLICATION TRANSMITTAL AND FEE SHEET

Transmitted herewith for filing under 37 CFR §1.53(b)(1) is a continuation of prior Application No. 09/568,472, filed May 10, 2000.

Applicant (or identifier): SCHUPP ET AL. GENES FOR THE BIOSYNTHESIS OF EPOTHILONES Title: Enclosed are: Specification (Including Claims and Abstract) - 81 pages 1. 2. Drawings sheets Declaration and Power of Attorney 3. Newly executed (original or copy) Copy from a prior application (signed or with indication that original was b. sianed) Deletion of Inventors i. Signed statement attached deleting inventor(s) named in the prior application Incorporation By Reference 4. The entire disclosure of the prior application, from which a copy of the Declaration and Power of Attorney is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. Microfiche Computer Program (appendix) 5. Nucleotide and/or Amino Acid Sequence Submission 6.

Computer Readable Copy (87 pages) Paper Copy Statement Verifying Identity of Above Copies 7. **Preliminary Amendment** 8. Assignment Papers (Cover Sheet & Document(s)) English Translation of 9. 10. Information Disclosure Statement Certified Copy of Priority Document(s) 11. Return Receipt Postcard 12.

Other: Application Data Sheet

The right to elect an invention or species that is different from that elected in parent  $\boxtimes$ Application No. 09/568,472 in the event of a restriction or election of species requirement that is identical or substantially similar to that made in said parent application is hereby reserved.

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Filing fee calculation:											
<ul> <li>Before calculating the filing fee, please enter the enclosed Preliminary Amendment.</li> <li>Before calculating the filing fee, please cancel claims</li> </ul>											
Basic Filing Fee									\$	740	
Multiple Dependent Claim Fee (\$ 280)								\$			
Foreign Language Surcharge (\$ 900)								\$			
	For	Number Filed		Number Extra			Rate				
Extra Claims	Total Claims	93	-20	73	х	\$	18	=	\$	1,314	
	Independent Claims	4	-3	1	х	\$	84	=	\$	84	
TOTAL FILING FEE										2,138	

Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$2,138. An additional copy of this paper is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required in connection with this application, or credit any overpayment, to Deposit Account No. 19-0134 in the name of Novartis Corporation.

Please address all correspondence to the address associated with Customer No. 001095, which is currently:

Thomas Hoxie Novartis Corporation Patent and Trademark Dept. 564 Morris Avenue Summit, NJ 07901-1027

Date: November 13, 2001

Please direct all telephone calls to the undersigned at the number given below and all telefaxes to (908) 522-6955.

Respectfully submitted,

George R. Dohmann Attorney for Applicants

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